

#4 4-20-04

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of -

Docket No: Q63903

Johji TAJIMA

Appln. No.: 09/822,513

Group Art Unit: 2615

Confirmation No.: 7508

Examiner: Unknown

Filed: April 2, 2001

For:

METHOD AND SYSTEM FOR VIDEO RECORDING AND COMPUTER PROGRAM

STORING MEDIUM THEREOF

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98 RECEIVED

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 APR 1 5 2004

Technology Center 2600

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

- Japanese Unexamined Patent Application Publication No. H11-046343, published
 February 16, 1999 (previously submitted on June 11, 2003).
- 2. Japanese Unexamined Patent Application Publication No. H09-9199, published January 10, 1997.
- Japanese Unexamined Patent Application Publication No. H09-74299, published March 18, 1997.

One copy of each of the listed documents is submitted herewith.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/822,513

The present Information Disclosure Statement is being filed: (1) No later than three months from

the application's filing date; (2) Before the mailing date of the first Office Action on the merits

(whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for

continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee

under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign

language documents, Applicant encloses herewith a copy of a corresponding Japanese Office Action

dated January 15, 2004 and an English translation of the pertinent portions thereof which cites such

documents and indicates the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document

constitutes prior art against the claims of the present application. Applicant does not waive any right to

take any action that would be appropriate to antedate or otherwise remove any listed document as a

competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and

the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said

Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Howard L. Bernstein

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: April 14, 2004

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Substitute for Form 1	Substitute for Form 1440 A & DAYTO			Complete if Known		
Substitute for Form 1449 A & B/PTO				Application Number	09/822,513	
OIPE INFO	ORMATION	DISCLOS	SURE	Confirmation Number	7508	
	TEMENT B			Filing Date	April 2, 2001	
Adm (9)	(use as many sheets as necessary)			First Named Inventor	Johji TAJIMA	
				Art Unit	2615	
				Examiner Name	Unknown	
Cheet	1	of	1	Attorney Docket Number	Q63903	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		JP	H09-9199		01-10-1997		No
		JР	H09-74299		03-18-1997		No
						RECEI	VED-
						APR 15	2004
						Technology Ce	enter 2600

		NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.			
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.





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Note (For a list of the cited literature, see the List of Cited Literature.)

Regarding Claims 1 to 19: Cited Literature Number: 1, 2, 3

Remarks: Although in Cited Example 1 there is a comparison to a facial image and a videotape is made if the comparison is successful, in Cited Examples 2 and 3, a comparison is made between and inputted voice signal and a specified query voice signal, and if the comparison is successful a videotape is made.

When it comes to whether to use a facial image or some other image as the image for comparison, or when the comparison has been successful, whether to start videotaping or stop videotaping, these are design issues that can be determined as appropriate by an individual in the industry.

Notification of reasons for rejection will be made if additional reasons for rejection are discovered.

List of Cited Literature

- 1. Japanese Unexamined Patent Application Publication H11-046343
- 2. Japanese Unexamined Patent Application Publication H09-9199
- 3. Japanese Unexamined Patent Application Publication H09-74299